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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Heeb, Beat

Application No. Unassigned

Filed: October 29, 2001

For: Method To Create Optimized Machine Code  
Through Combined Verification And  
Translation Of JAVA Bytecode

Group Art Unit: Unassigned

Examiner: Unassigned

Atty. Docket No.: 20296-300101

Date: October 29, 2001



CERTIFICATE OF EXPRESS MAILING

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label Number EH772165255US

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56 AND 1.97(c)

Commissioner of Patents and Trademarks  
Washington, DC 20231

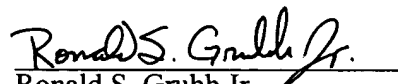
Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is filed with the Application, accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 02-3964 (Order No. 20296-300101).

Respectfully submitted,

  
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<b>Form 1449 (Modified)</b>	Atty Docket No. 20296-300101	Serial No.: Unassigned
<b>Information Disclosure Statement By Applicant</b>	Applicant: Heeb, Beat	
	Filing Date: October 29, 2001	Group: Unassigned
(Use Several Sheets if Necessary)		

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	6,151,703	11/21/00	Crelier	717	5	5/20/96
	B	6,139,199	10/31/00	Rodriguez	395	709	6/11/97
	C	6,110,226	8/29/00	Bothner	717	7	2/19/98
	D	6,092,147	7/18/00	Levy et al.	711	6	4/15/97
	E	6,075,940	6/13/00	Gosling	395	704	5/19/97
	F	6,070,239	5/30/00	McManis	713	187	11/17/97
	G	6,058,482	5/2/00	Liu	713	201	5/22/98
	H	5,999,731	12/7/99	Yellin et al.	395	704	3/24/98
	I	5,978,586	11/2/99	Baisley et al.	395	705	11/26/97
	J	5,970,249	10/19/99	Holzle et al.	395	705	10/6/97
	K	5,909,579	6/1/99	Agesen et al.	395	704	4/23/97
	L	5,848,274	12/8/98	Hamby et al.	395	705	5/10/96
	M	5,748,964	5/5/98	Gosling	395	705	12/20/94
	N	5,740,441	4/14/98	Yellin et al.	395	704	12/20/95
	O	5,692,047	11/25/97	McManis	380	4	12/8/95
	P	5,668,999	9/16/97	Gosling	395	704	12/20/94
	Q	5,590,331	12/31/96	Lewis et al.	395	708	12/23/94

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	R							
	S							
	T							
	U							

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	Filing Date: October 29, 2001	Group: Unassigned
(Use Several Sheets if Necessary)		

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	V	Tim Lindholm and Frank Yellin, "The JAVA Virtual Machine Specification – Second Edititon", <a href="http://java.sun.com/docs/books/vmspec/2nd-edition/html/Introduction.doc.html">http://java.sun.com/docs/books/vmspec/2<sup>nd</sup>-edition/html/Introduction.doc.html</a>
	W	Gary McGraw and Ed Felten, "Securing JAVA, Getting Down To Business With Mobil Code", <a href="http://www.securingjava.com/chapter-two/chapter-two-6.html">http://www.securingjava.com/chapter-two/chapter-two-6.html</a>
	X	"The GNU Compiler For The JAVA Programming Language", <a href="http://gcc.gnu.org/java/index.html">http://gcc.gnu.org/java/index.html</a>
	Y	Dave Dittrich and Nathan Dors, "JAVA", <a href="http://staff.washington.edu/dittrich/talks/java/">http://staff.washington.edu/dittrich/talks/java/</a>
	Z	"The JAVA Tutorial", <a href="http://java.sun.com/docs/books/tutorial/getStarted/problems/index.html">http://java.sun.com/docs/books/tutorial/getStarted/problems/index.html</a>
	AA	"JAVA Optimization" <a href="http://www-2.cs.cmu.edu/~jch/java/compilers.html">http://www-2.cs.cmu.edu/~jch/java/compilers.html</a>
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.